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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Frederick M. BOYCE Art Unit: 1819

Serial No.: 08/752,032 Examiner: B. Campbell

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Title : USE OF A BACULOVIRUS TO EXPRESS AN EXOGENOUS GENE IN

A MAMMALIAN CELL

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Assistant Commissioner for Patents Washington, DC 20231

DECLARATION OF DR. JAMES BARSOUM UNDER 37 CFR §1.132

- I, James Barsoum, declare:
- I am a Senior Scientist at Biogen, Inc., the licensee of the subject matter described and claimed in USSN 08/752,032, captioned above (hereinafter "the '032 application").
- 2. My colleagues and I conducted the experiments described below, which show that a baculovirus can be used as described in the '032 application to express an exogenous gene in a mammal and obtain a significant therapeutic effect. Using both in vivo and ex vivo gene delivery methods, we showed that baculovirus-mediated expression of human interferon- β (hIFN β) in two mouse models of human cancer leads to a significant and sustained amelioration of disease.

Experiment 1: In Vivo Gene Delivery

3. To produce the baculovirus used in this experiment, a nucleic acid encoding hIFNeta was cloned into a baculovirus

termed CMV-BV. In this baculovirus, expression of the exogenous gene was controlled by a cytomegalovirus (CMV) immediate early promoter (as described at page 18, lines 2-5, of the specification). The baculovirus also contained an SV40 polyadenylation site downstream of the exogenous gene (as described at page 7, lines 7-10). The virus was propagated and purified by sucrose gradient ultracentrifugation (as described in the specification at page 8, lines 22-26). The final purified virus stock had a titer of approximately 1 x 10¹⁰ plaque forming units/ml (pfu/ml).

- 4. This experiment used an art-accepted mouse model of human colon cancer. Human colon carcinoma cells, termed KM12L4A cells, were grown in 150 mm tissue culture dishes in Dulbecco's Modified Eagle's Medium supplemented with 10% fetal bovine serum and 4 mM glutamine. Approximately 2 x 106 cells were injected subcutaneously into a flank of each of four immune-deficient mice (Balb/c nu/nu mice). The injected carcinoma cells rapidly developed into tumors that were 9-12 mm in diameter.
- 5. Approximately 1 x 10 9 pfu of the baculovirus expressing hIFN β then were injected into two of the tumor-bearing mice. The other two mice received approximately 1 x 10 9 pfu of a control virus, CMVZ-BV, which contains a β -galactosidase reporter gene rather than an hIFN β gene. In each case, the virus was injected, using a 28 gauge syringe, into the approximate center of the tumor in a volume of 0.1 ml.
- 6. Tumors in the mice that received the control virus continued to grow after injection of the virus. These tumors

quickly reached approximately 10% of the total body weight of the mouse, at which point the mice had to be sacrificed in compliance with animal guidelines. In contrast, tumors in the mice that received the baculovirus expressing hIFN β showed signs of regression at just one day after injection of the baculovirus. The tumors in these mice completely regressed within 7 days of injecting the baculovirus expressing the exogenous hIFN β gene. Scar tissue at the site of injection subsequently healed completely. These mice remain tumor free to date, which is approximately 3 months after conclusion of the experiment. In conclusion, this experiment shows that a baculovirus expressing an exogenous gene can provide a significant and sustained therapeutic effect in vivo.

Experiment 2: Ex Vivo Gene Delivery

- 7. In this experiment, ex vivo gene delivery methods were used to deliver a baculovirus carrying an exogenous hIFN β gene into cancer cells. The cancer cells subsequently were implanted into animals, and a sustained, therapeutic effect was obtained due to expression of the exogenous hIFN β gene in vivo.
- 8. The CMV-BV baculovirus carrying the hIFN\$\beta\$ coding sequence, as described above, was used in this experiment. Human breast cancer cells, MDA-MB-468 cells, were grown in 150 mm tissue culture dishes in Dulbecco's Modified Eagle's Medium supplemented with 10% fetal bovine serum and 4 mM glutamine. The baculovirus carrying the exogenous gene was added to the culture

medium at 200 pfu/cell, and the cells and virus were incubated overnight at 37°C in a CO_2 incubator.

- 9. MDA-MB-468 cells that were not infected with the baculovirus were used as a control. The uninfected and baculovirus-infected MDA-MB-468 cells were harvested with trypsin and counted. Approximately 2 x 10⁶ baculovirus-infected cells were injected subcutaneously into a flank of each of four Balb/c nu/nu mice. Four other Balb/c nu/nu mice each received, by injection into a flank, approximately 2 x 10⁶ uninfected cells. For the injections, the cells were contained in 0.1 ml of phosphate-buffered saline.
- 10. As shown in Table 1, tumors quickly developed in the mice that received the uninfected (i.e., untreated) cancer cells. The tumors in these control mice increased in size throughout the course of the six week experiment. On average, the tumors resulting from injection of the uninfected cells grew to 5.2 mm in diameter (at 7 days after infection) and increased in size to 7.6 mm (at the end of 6 weeks after injection).

Table 1: Tumor Size (mm)

Cell Treat- ment	Week 1*	Week 2	Week 3	Week 4	Week 5	Week 6
Unin- fected	5.2 <u>+</u> 0.1	5.6 <u>+</u> 0.1	5.9 <u>+</u> 0.1	6.1 <u>+</u> 0.3	6.8 <u>+</u> 0.3	7.6 <u>+</u> 0.5
$BV-CMV-IFNeta \ Treated$	1.9 <u>+</u> 1.3	0.5 <u>+</u> 1.0	0.6 <u>+</u> 1.0	0.5 <u>+</u> 1.0	0.6 <u>+</u> 1.3	0.7 <u>+</u> 1.4

* The table shows the average tumor size (from 4 mice) at the end of each week following injection.

- 11. In contrast to the results obtained with mice that received uninfected cancer cells, a significant therapeutic effect was observed with the mice that received cancer cells which were treated with baculovirus expressing hIFN β . At week 1 after infection, tumors in the mice that received baculovirus-infected cells were significantly smaller (1.9 mm) than were the tumors in the mice that received uninfected cells (5.2 mm). Thus, tumor formation was significantly inhibited in the mice that received baculovirus-infected cancer cells, relative to formation of tumors in the mice that received uninfected cancer cells.
- baculovirus was sustained over time. As shown in Table 1, the average tumor in the mice that received the baculovirus-infected cells was 1.9 mm in diameter after one week and regressed to 0.7 mm by the end of the six-week experiment. In fact, by week 6, the tumors in 3 of the 4 baculovirus-infected mice had regressed completely. This decrease in the size of tumors in the mice that received cancer cells infected with baculovirus expressing hIFN β is in direct contrast to the observed increase in the size of tumors in mice that received uninfected cancer cells. These data indicate that the therapeutic effect of the baculovirus expressing the exogenous hIFN β gene was sustained over at least a six-week time period and suggest that continued expression of IFN β led to the death of most tumor cells.

Summary

- used art-accepted animal models of human colon and breast cancers and showed that baculovirus-mediated gene expression in vivo provides a significant therapeutic effect that is sustained over time. A significant therapeutic effect was obtained with both in vivo and ex vivo methods of delivering the baculovirus and exogenous gene to cells of the treated animal.
- of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Date: June 15, 1998

James Barsoum, Ph.D.

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